



UTILITY PATENT APPLICATION TRANSMITTAL (Only for new nonprovisional applications under 37 C.F.R. § 1.53(b))	Attorney Docket No.	PUR-00114.P.1.1.1.1
	First Inventor or Application Identifier	Judy RAUCY
	Title	Compositions and Methods For Induction Of Proteins Involved In Xenobiotic Metabolism
	Express Mail Label No.	EL 892181419 US
APPLICATION ELEMENTS See MPEP chapter 600 concerning utility patent application contents	ADDRESS TO: Mail Stop Patent Application Commissioner for Patents PO Box 1450 Alexandria, VA 22313	
1. <input checked="" type="checkbox"/> * Fee Transmittal Form (e.g. PTO/SB/17) (Submit an original and a duplicate for fee processing) 2. <input checked="" type="checkbox"/> Specification [Total Pages [108]] 3. <input checked="" type="checkbox"/> Drawing(s) (35 U.S.C. 113) [Total Sheets [48]] 4. Oath or Declaration a. <input checked="" type="checkbox"/> Newly executed (original or copy) b. <input type="checkbox"/> Copy from a prior application (37 C.F.R. § 1.63(d)) (For continuation/divisional with Box 16 completed) i. <input type="checkbox"/> <u>DELETION OF INVENTOR(S)</u> Signed statement attached deleting inventor(s) named in the prior application See 37 C.F.R. §§ 1.63(d)(2) and 1.33(b). <u>*NOTE FOR ITEMS 1 & 13: IN ORDER TO BE ENTITLED TO PAY SMALL ENTITY FEES, A SMALL ENTITY STATEMENT IS REQUIRED (37 C.F.R. § 1.27); EXCEPT IF ONE FILED IN A PRIOR APPLICATION IS RELIED UPON (37 C.F.R. § 1.28).</u> 5. <input type="checkbox"/> Microfiche Computer Program (Appendix) 6. Nucleotide and/or Amino Acid Sequence Submission (If applicable, all necessary) a. <input checked="" type="checkbox"/> Computer Readable Copy b. <input checked="" type="checkbox"/> Paper Copy (identical to computer copy) c. <input checked="" type="checkbox"/> Statement verifying identity of above copies		

ACCOMPANYING APPLICATION PARTS

7. ☐ Assignment Papers (cover sheet & documents(s))
8. ☐ 37 C.F.R. § 3.73(b) Statement
(when there is an assignee)
- ☒ Power of Attorney
9. ☐ English Translation Document (if applicable)
10. ☐ Information Disclosure Statement (IDS)/PTO-1449
☐ Copies of IDS Citations
11. ☐ Preliminary Amendment
12. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)
13. ☒ Small Entity Assertion
☐ Statement filed in prior application. Status still proper and desired
14. ☐ Certified Copy of Priority Document(s)
(If foreign priority is claimed)
15. ☒ Other:
Check No. _____ for the filing fee of \$375.00
Certificate of Mailing by Express Mail No. 892181419

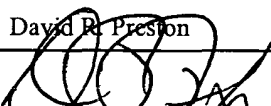
16. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment:

☐ Continuation ☐ Divisional ☒ Continuation-in-part (CIP) Of prior application No: 10/222,679
Prior application Information: Examiner To be determined Group Art Unit 1634

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 4b, is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion as been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE INFORMATION

☒ Customer Number [24232] or Bar Code Label [_____] or
☐ Correspondence address below

Name	David R. Preston		
Address	12625 High Bluff Drive, Suite 205		
City, State and Zip Code	San Diego, California 92130		
Country	USA		
Telephone	858.724.0375		
Facsimile	858.724.0384		
Name	David R. Preston	Registration Number	38,710
Signature		Date	8/14/03

Corresponds to PTO/SB/05

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

FEE TRANSMITTAL for FY 2003

Effective 01/01/2003. Patent fees are subject to annual revision.

☒ Applicant claims small entity status. See 37 CFR 1.27

TOTAL AMOUNT OF PAYMENT (\$ 375.00

Complete if Known

Application Number	To be determined
Filing Date	Herewith
First Named Inventor	RAUCY, Judy
Examiner Name	To be determined
Art Unit	To be determined
Attorney Docket No.	PUR-000114.P.1.1.1.1

METHOD OF PAYMENT (check all that apply)

☒ Check ☐ Credit card ☐ Money Order ☐ Other ☐ None

☒ Deposit Account:

Deposit Account Number: 501321

Deposit Account Name: David R. Preston

The Commissioner is authorized to: (check all that apply)

☐ Charge fee(s) indicated below ☒ Credit any overpayments

☒ Charge any additional fee(s) during the pendency of this application

☐ Charge fee(s) indicated below, except for the filing fee to the above-identified deposit account.

FEE CALCULATION

1. BASIC FILING FEE

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1001	2001	750	375	Utility filing fee	375.00
1002	2002	330	165	Design filing fee	
1003	2003	520	260	Plant filing fee	
1004	2004	750	375	Reissue filing fee	
1005	2005	160	80	Provisional filing fee	
SUBTOTAL (1)					(\$ 375.00

2. EXTRA CLAIM FEES FOR UTILITY AND REISSUE

Total Claims: 20 -20** = 0 X 9 = 0

Independent Claims: 2 -3** = 0 X 42 = 0

Multiple Dependent: 0 = 0

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1202	2202	18	9	Claims in excess of 20	
1201	2201	84	42	Independent claims in excess of 3	
1203	2203	280	140	Multiple dependent claim, if not paid	
1204	2204	84	42	** Reissue independent claims over original patent	
1205	2205	18	9	** Reissue claims in excess of 20 and over original patent	
SUBTOTAL (2)					(\$ 0.00

**or number previously paid, if greater; For Reissues, see above

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Entity	Small Entity	Fee Code	Fee (\$)	Fee Description	Fee Paid
1051	2051	130	65	Surcharge - late filing fee or oath	
1052	2052	50	25	Surcharge - late provisional filing fee or cover sheet	
1053	1053	130	130	Non-English specification	
1812	1812	2,520	2,520	For filing a request for ex parte reexamination	
1804	1804	920*	920*	Requesting publication of SIR prior to Examiner action	
1805	1805	1,840*	1,840*	Requesting publication of SIR after Examiner action	
1251	2251	110	55	Extension for reply within first month	
1252	2252	410	205	Extension for reply within second month	
1253	2253	930	465	Extension for reply within third month	
1254	2254	1,450	725	Extension for reply within fourth month	
1255	2255	1,970	985	Extension for reply within fifth month	
1401	2401	320	160	Notice of Appeal	
1402	2402	320	160	Filing a brief in support of an appeal	
1403	2403	280	140	Request for oral hearing	
1451	1451	1,510	1,510	Petition to institute a public use proceeding	
1452	2452	110	55	Petition to revive - unavoidable	
1453	2453	1,300	650	Petition to revive - unintentional	
1501	2501	1,300	650	Utility issue fee (or reissue)	
1502	2502	470	235	Design issue fee	
1503	2503	630	315	Plant issue fee	
1460	1460	130	130	Petitions to the Commissioner	
1807	1807	50	50	Processing fee under 37 CFR 1.17(q)	
1806	1806	180	180	Submission of Information Disclosure Stmt	
8021	8021	40	40	Recording each patent assignment per property (times number of properties)	
1809	2809	750	375	Filing a submission after final rejection (37 CFR 1.129(a))	
1810	2810	750	375	For each additional invention to be examined (37 CFR 1.129(b))	
1801	2801	750	375	Request for Continued Examination (RCE)	
1802	1802	900	900	Request for expedited examination of a design application	

Other fee (specify)

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$ 0.00

SUBMITTED BY

Name (Print/Type)	David R. Preston	Registration No. (Attorney/Agent)	38,710	Telephone	858-724-0375
Signature		Date	8/14/03		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

This collection of information is required by 37 CFR 1.17 and 1.27. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, Washington, DC 20231.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



David R. Preston, Ph.D, J.D.
preston@drpna.com

David R. Preston & Associates, A.P.C.

12625 High Bluff Drive
Suite 205
San Diego, California 92130

Thomas D. Foster[†]
foster@drpna.com

Owen Smigelski[†]
owen@drpna.com

Mo Savari[†]
savari@drpna.com

[†]Of Counsel
*A Professional Corporation

Mail Stop Patent Application

"Express Mail" Mailing Label Number: EL 892181419 US

Date of Deposit: August 15, 2003

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313

Re: Utility Patent Application

Entitled: COMPOSITIONS AND METHODS FOR INDUCTION OF
PROTEINS INVOLVED IN XENOBIOTIC METABOLISM
Appl. No.: To be determined
Filed: Herewith
Inventor: Judy RAUCY
Our Ref.: PUR-00114.P.1.1.1.1

Sir:

The following documents are forwarded herewith for appropriate action by the United States Patent and Trademark Office:

1. Utility Patent Application Transmittal (in duplicate);
2. Fee Transmittal (in duplicate);
3. Declaration for Utility or Design Patent Application;
4. Complete U. S. Patent Application entitled:

Compositions and Methods For Induction Of Proteins Involved In Xenobiotic Metabolism

and naming as inventor:

Judy RAUCY

the utility application comprising:

- a) Total pages of application: [157]
 - b) Pages of Disclosure: [105];
 - c) Sheets of Drawings: [48];
 - d) Pages of Title Page: [1];
 - e) Pages of Abstract: [1]; and
 - f) Pages of Claims: [2];
- 5. Sequence Listing [15 pages];
 - 6. Diskette Containing Sequence Listing;
 - 7. Statement under 37 CFR §1.825 a-b;
 - 8. One Return Post Card; and
 - 9. Our Check number 2425 for \$ 375.00 to cover the Application fee (small entity status).

• It is respectfully requested that the attached postcard be stamped with the filing date and unofficial application number and returned as soon as possible.

Please apply any charges not covered, or any credits, to Deposit Account number 501321 in the name of David R. Preston & Associates, APC

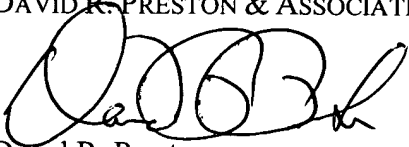
The following attorney is the attorney of record for prosecuting this application and transacting all business in the USPTO connected therewith:

David R. Preston, Esquire
Registration No. 38,710

Please send all correspondence and direct all telephone calls to:

David R. Preston
David R. Preston & Associates, APC
12625 High Bluff Drive
Suite 205
San Diego, California 92130
858.724.0375

Respectfully Submitted,
DAVID R. PRESTON & ASSOCIATES, A.P.C.

A handwritten signature in black ink, appearing to read 'David R. Preston', with a stylized flourish at the end.

David R. Preston
Attorney for Applicant
Registration No. 38,710